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10/657,338	09/08/2003	Robert R. Rice	7784-000626	8818	
27572	7590 03/27/2006		EXAM	EXAMINER	
HARNESS, DICKEY & PIERCE, P.L.C.			RAMIREZ, JOHN FERNANDO		
P.O. BOX 828 BLOOMFIEL	D HILLS, MI 48303		ART UNIT	PAPER NUMBER	
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			DATE MAIL ED: 02/27/200	,	

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Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)					
	10/657,338	RICE ET AL.					
Office Action Summary	Examiner	Art Unit					
	John F. Ramirez	3737					
The MAILING DATE of this communication app		orrespondence address					
Period for Reply		0) 00 00 00 00 00 00 00 00 00 00 00 00 0					
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory period w - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 16(a). In no event, however, may a reply be tim rill apply and will expire SIX (6) MONTHS from cause the application to become ABANDONEI	l. lely filed the mailing date of this communication. O (35 U.S.C. § 133).					
Status							
1) Responsive to communication(s) filed on <u>01/20</u>	<u>0/06</u> .						
·—	·						
,	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is						
closed in accordance with the practice under E	x parte Quayle, 1935 C.D. 11, 45	03 O.G. 213.					
Disposition of Claims							
4) Claim(s) 1-27 is/are pending in the application.							
4a) Of the above claim(s) is/are withdrawn from consideration.							
5) Claim(s) is/are allowed.							
·	Claim(s) 1-27 is/are rejected.						
7) Claim(s) is/are objected to. 8) Claim(s) are subject to restriction and/or	r clastion requirement						
are subject to restriction and/or	election requirement.						
Application Papers							
9)☐ The specification is objected to by the Examine							
10)☐ The drawing(s) filed on is/are: a)☐ accepted or b)☐ objected to by the Examiner.							
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).							
Replacement drawing sheet(s) including the correct							
11) ☐ The oath or declaration is objected to by the Ex	aminer. Note the attached Office	Action of form PTO-152.					
Priority under 35 U.S.C. § 119							
12) ☐ Acknowledgment is made of a claim for foreigna) ☐ All b) ☐ Some * c) ☐ None of:	priority under 35 U.S.C. § 119(a)	-(d) or (f).					
1. ☐ Certified copies of the priority documents	s have been received.						
2. Certified copies of the priority documents		on No					
3. Copies of the certified copies of the prior	•						
application from the International Bureau	ı (PCT Rule 17.2(a)).						
* See the attached detailed Office action for a list	of the certified copies not receive	ed.					
Attachment(s)	<u></u>						
1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948)	4) Interview Summary Paper No(s)/Mail Da						
3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date		atent Application (PTO-152)					

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DETAILED ACTION

Response to Arguments

After a review of applicant's remarks, the examiner of record acknowledges the amendment to the claims on pages 2-8. Accordingly, original claim 28 has been cancelled.

Applicant's arguments filed 01/20/2006 have been fully considered but they are not persuasive. Applicant's alleges on page 11 of the amendment, that the Pavlidis reference provides no disclosure or teachings relating to "determining physiological stress based on spectrum reflections", and "measuring a blush that is characterized by increased blood flow to the cheeks". However, the examiner of record respectfully disagrees with applicant's comments. In column 5, line 26 - column 6, line 9, the specifications of the Pavlidis patent specifically states:

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specifically states:

in U.S. gutent application Ser. No. 00/776,478, died 2 Feb. 2001, enzided "Detection System and Method Using Thermal Image Assiyak," methods and systems fan detecting anxiety through Chernal facial finage tashysis in described, in general, the change in themsal facial image signature of an individual is used no determine whether the individual is used no determine whether the individual is approximately for example the individual is approximately for example the individual is approximately applied. expansive of an individual is used to determine whether the individual is experiencing analety. For example, as described themin, analety is accompanied by an increased local warming around the individual's eyes. This change in facild thermal pattern around the individual's eyes is typically accompanied by a concentrant cooling over the checks and/or evaluational warming over the executed over region.

andre consistant warming over the caseful stray region. Generally, this pattern of thermal change in an individual's body firring as oness of entirely (e.g., the change in the individual's thermal signature during cross of entirely anderes physiological and evolutionary scane, as it represents a mechanism to facilitate rapid eye movement change presentation for flight. In other words, elevated anxisty precipitates a best of physiological responses, many of which result form about dysepathetic envents system activity. One of these responses is licit militativition of blood flow resulting in the flow is a first the control of the

Such design temperature changes in heralized regions can be detected by floran face emissions in both the mis-infrared thermid band (i.e., 1 microus to 5 microus band) and flurinfrared florand band (i.e., 5 microus to 14 microus band) of the electromagnetic spectrum. As one stilled in the an will recognize, such ranges may be alightly shorter or

A graph of the electromagnetic spectrum is shown in FIG. 2, with the thermal infrared band shown as reference numeral 23. The thermal infrared band lies above the visible band 22 and reflected infrared band 21 of the electromag-

acite spectrum.

As such, thermal infrared detectives suitable to senso supperature variations in such regions of the spectrum can be used to produce thermal facial images, on themograms, representative of such local sumperature charges in the husens face of an individual. Such data of the themograms (e.g., those string either one on enter of the mid-infrared band and far-infrared hand) may be used to determine a physiological state of the individual (e.g., anativity), as described to U.S. patent application Ser. No. 09.776.470, while is heavypectated herein by schement.

For exemple, as described in U.S. patent surflication Ser.

With the above changes in temperature in the localized regions of the individual's face 32 that accompany an onset

id anxiety, and with suitable stimitoring of emissions from the Individual 30 in the thermal infrared spectrum from

the individual 30 in the memori survived section from before the firm of anxiety used; (e.g., 4 the important) status) and also then the dime of cases, detection of transition from a prior state, e.g., a calm state, to an auxiety state can be achieved. This change in Celait thermal inferrent partiern or signature in the time of the transition is dramatic and can be essily recognized as described in U.S. pasers application Ser. No. 09/776,470.

However, the pedrygraph test seeing, when thermal image data is obtained, temperature changes observed around the eyas and in the face in general we trystically only subtle and not obsurp as described in the constrol anxiety with selection to U.S. satent application Ser. No. 09(770,470, As such, when viewing only described image data so illustrated further before such temperature, buttons are allustrated further. when young only extract image one as amount occurred below, such temperature therefore are almost entertierable. Such disputily between the thermal image data changes due to entirely and those due to polygraph testing use libely a result of the only stable stress imposed on polygraph sub-

Such ampriseable temperature charges in the thornal image data chained online polygraph saxing is shown possessly in FIOS, 34-8B FIO As shown thermal image data of a pecson prior to and at the beginning of respecting descriptively to a specific in a polygraph test. The temperature is visualized in gray scale, although any visualization scheme could be used, or, a simble or during scheme with higher temperatures expresented by bright colors such as perfect of these temperatures represented by other colors such as bite. FIG. 3B shows visualized thermal image data of a normal waysh the end of the controls. Assembly of a person sewarts the end of the person's deceptive response to the question. As can be seen in FIGS, SA-6B, to uniceable difference to thermal triage data appears.

noticeable difference in thermal frames that appears. As such, to provide for useful information seconding to the present severains for each in property treating, the thornal image that is transformed to blood flow raw that is described from the basis and as a visualized in FIGS, 64–61. In FIG, 6A, corresponding to the thornal image data of FIG. 3A, visualization of blood flow twin as person prior to and the beginning of a deceptive response to a question; thorn presently as a very their image. Lighter regions 60, 62 may be generally seen in the perfect full region 60 of the flow at the check region 62 of the flow. This is indicable of changing bitted flow rate in such ways.

From the could file remove the court to resente to the

changing bit of liew tees to such sees.

Founds the end of the person's deceptive exponse to the question, visualization of bit of flow tax in the person's face corresponding to the thermal image data shown in FEG. 58 becomes from the FEG. 58 becomes from the FEG. 58 becomes from the first of blood flow raw in the periorbital regime 60 is visualized as much fighter entailies to that shown in FEG. 6A. Likewise, took te gion 62 is also visualized in smith lighter manner, as is a majority of facial alam 64, when compared to that of FEG. 6A. FIG. 6A

FIG. 6.4.

The difference in the visualization of blood flow cus data between FM. 6A and FIG. 6B is significant. The differences shrow in the visualization of blood flow cus intensities are represented in such Figures with the Sufters or brighter regions indicating the highest degree of change in blood flow state. In other words, as the response to the question is answered deceptively, the change in blood flow state not the time prior to the question to a time during the deceptive response to visualized in the Figures by the Egines type the Egine representation of the face in FIG. 6B versus that shown in FIG. 6A.

Such differences between FIG. 6A and FIG. 6B are in disect contrest to the lack of differences in the visualized tax

In column 7, line 51 – column 8, line 8, the specifications of the Pavlidis patent

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therend issue data shows for such individuals in FIGS. SA-SB. As a result, according to the present invention, with amplification of the thermal image data (e.g., transformation of such thermal image data to change in blood flow rate over time), determination of whether a person's response to a question is deceptive or non-deceptive can be attained.

In view of the preceding generalities, an illustrative embediment of a polygraph system 10 according to the present invention shall be described with reference to FIG. present invention what he described with reference to FIG. 1. In conjunction with this polygraph system 10, preferably, surbons software numbers or algorithms 16 are generally described for carrying our various steps of one or more embodinests of a polygraph method 60 shows in FIG. 4) for determining whother response by an individual (e.g., a sentences by an individual) is theorems or non-descriptive (e.g., whether a person is being choosified or tractiful).

The polygraph system 10, e.g., a system for determining wheater an elicited response from a person 30 fo deceptive or mon-deceptive, is generally illustrated in FRG. 1. The polygraph system 10 includes a thermal infrared image device 12 openible to provibe saliable thermal image and representative of a source in which individual 30 (as above representative of a so FIG. 3) is located. The thormal image data from the thormal infrared image device 12 is provided to a competing apparatus.

ratio 14.

Preferably, computing apparatra 14 includes a computer system operable to execute software 15 to provide for the determination of the decaptive or non-deceptive state of a person based on thermal singe data transference to based how rate data. Although the computing expansus 14 may be implemented using software 16 constable saling a processor apparatus, event specialized hardware may also provide the functionality required to provide a user with information as to the non-deceptive or deceptive state of an individual 30. As such, the term computing apparatus as used bortin includes specialized bactware in addition to on as a ultimative to a processor preprints capable of executing various unitive to a percursory appropriate capable of executing various software equitors

The compating appearatus, which shall be refured to hersingler in conjunction with reference numeral 14, may be, for example, any fixed or mubile computer system, e.g., be, for example, any fitted or machine computer system, e.g., a personal samputer. The creat configuration of the computer system is any limiting and must any device capable of providing calculate computing capabilities may be used according to the present investigate. Further, various perighential devices, such as a computer display, a tensee, a keyboard, a printer, etc., are consemptined to be used in combination with a processor to the computing apparatus.

The thornal influend image device 12 is preferably one or more thermal communication in principal entry consulted to mid-infrared analyst far-infrared bands of the electron neile spectrem. For example, the thermal infrared image davim 12 may be an uncroiled thermal camera actuative in device is may be an operation internal experts sensitive that for internal hand (i.e., the 8 minore to 14 minoral hand) available from Reytheon and growing under the teach designation Emphetia. Further, for ensemple, the thermal lettered hange device 12 may be a mid-infrared center of sensitive in the mid-infrared band (i.e., the 3 minors to 5 micron band) available from Raytheon under the trade designation Radiance HS Mid-infrared Camera.

As indicated previously, the immunitedly and face emit in both the mid-linkured and fai-infrared beauts of the electro-magnetic spectrum. Therefore, predecably, both a few infrared camera and a mid-infrared camera are used to

provide thermal image data such that the data is the fu-infrared band and the mid-infrared band may be compared to provide additional accuracy. However, one skilled in the

an will recognize that either one or both of a far-lathard bend antibr suid-infrared band corners may be used accord-ing so the present investion. Further, it is preferred that highly sensitive country be used when attempting to detect seasogen halpsholegiq al espants situes

sabile changes in physicogical response.

The International camera provided under the trade designation Exploiting has a monited temperature sensitivity of noise equivalent temperature difference (NETD) equal to 0.15° C. However, such performance is typically and channel and the acreal sampeature sensitivity of the Exploitin model may be above 0.5° C. As this is only a feat amount of facial temperature resolvation, a serial amount of inferenciation may be masked thereby. The mid-infrared comera available from Raychern under the made designation Radiance HS (tild-timed Camera may be cultimated for a particular setting with nonlinear equations for improved accuracy. It generally has an NETD equal to 0.015° C. A cultivation process and be complemented with a smart, estimation process may be complemented with a smart, tightly accurate (0.01° C.) differential black body for near perfect some temperature reference.

The computer appears 14 includes software compensus 16 for operation on thermal facial image data provided facts thormal infrared camera 12. One or more of such antiware components 16 may be used to operate on the thermal integrabet, e.g., pixel data, provided from the thermal influent carriers 12 to determine whether an administral 30 is non-doseptive or deceptive with respect to as elicited response these flarm. Such algorithmic software components for analysis of the thermodecial integers of an individual 30 are shown as a part of an exemptary flow or block diagram of the polygraph method S0 shown in FIG. 4

As shown in the polygraph method 50 of FIG. 6, thermal image data 52, e.g., pixel data, of a scene in which the individual 30 is located in provided to the computer apparans 14 and is thereafter operated upon by arrhere 16.
Such arrhere 16 includes at least a transformation component (block 54) for transforming the captured thermal imaging that for a person to throat down rate that and a classification occupances (block 56) for classifying the person as deceptive to mon-deceptive based on the labout flow rate data.

ce non-acceptive research in the more now rate each. Generally, transformation, component 54 provides an algorithm to transform the neal image data of the face 32 of an individual 30 in beload flow rate furthermine (e.g., blood flow rate, change in blood flow rate over time, ex.) embodied as blood flow data. Preferably, such transformation changes the thermal image data lean data representative of the change of blood flow reso over time (i.e., over a plurelly

of firms) of one or more regions of the free.

Such measuremation care include any curator of different processing sechalupos. For example, such transformation may include segreculation algorithms to respect the thermal image data of the firms from background of the thermal image data of the turns from background of the thermal image data of the turns from the firm of the processing of the firm of the processing of the firms of the processing the first of the face 32 into not or more regions. In once can properly embodiment, as those in first of the face of the fa region 34 is preferably used according to the present inven-

Based on the above evidence, the system and method disclosed by Pavlidis teaches or suggest the steps of determining physiological stress based on spectrum reflections, and measuring a blush that is characterized by increased blood flow to the cheeks.

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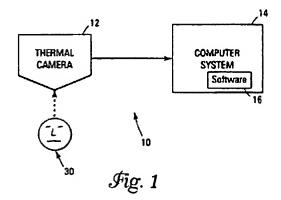
Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for a patent.
- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- (e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

Claims 1, 2, 4, 6-8, 10, 12, 13, 15, 17-19, 21 are rejected under 35 U.S.C. 102(b) as being anticipated by Pavlidis (US 6,854,879).



With respect to claims 1, 2, 4, 6-8, and 10, Pavlidis discloses a system for detecting physiological stress in a subject, the system comprising: a processor (14, Figure 1) adapted to receive an image of the subject from a camera (12, Figure 1), adapted to identify a first spectral characteristic of the subject when the subject is unstressed and adapted to identify a second spectral characteristic of the subject when

stressed (col. 16, lines 35-40), the processor further adapted to compare an area of the image with the first and the second spectral characteristics and adapted to indicate whether the subject is experiencing physiological stress based on which of the spectral characteristics the image more closely coincides with (col. 15, lines 12-64), the second characteristic further comprising being coincident with one of a spectrum of sub-dermal blood flow and a spectrum of dermal hydration (col. 4, lines 46-67), whereby the second characteristic indicates a blush (col. 5, lines 5, 12), the processor coupled to the camera. (Figure 1), wherein the processor is coupled to a time source, a date source, and a location source to enable the processor to associate the time, date, and location with the image (col. 10, line 65 – col. 11, line 14; and see claims 23 and 27), wherein the system is installed in one of an airport, an interrogation room, and a store (col. 19, lines 40-46), wherein the processor identifies the first spectral characteristic from the image to detect an unstressed condition of the subject in real time, wherein the processor identifies the second spectral characteristic from the image to detect a stressed condition of the subject in real time (col. 10, line 65 – col. 11, line 14).

With respect to claims 12, 13, 15, 17-19, and 21, Pavlidis teaches all the structures as set forth above. The method concerning the steps of (1) detecting physiological stress of a subject, (2) observing an image of the subject with a system, the subject to include a first spectral characteristic when the subject is unstressed and a second spectral characteristic when the subject is stressed, (3) comparing an area of the image to the first spectral characteristic with the system, (4) comparing the area of the image to the second spectral characteristic with the system (5) determining with the

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system which of the spectral characteristics the area of the image more closely coincides with to detect if the subject is experiencing stress, (6) selecting the second spectral characteristic from the group consisting of a spectrum of sub-dermal blood flow and a spectrum of dermal hydration and wherein the second spectral characteristic indicates a blush, (7) coupling a camera to the system whereby the camera inputs the image to the system, (8) associating a time, a date, and a location with the image (9) installing the system in one of an airport, an interrogation room, and a store, (10) identifying the first spectral characteristic from the image in real time, and (11) identifying the second spectral characteristic from the image in real time, would be inherently met by the disclosure.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 3, 9, 11, 14, 20, 22-25, and 27 are rejected under 35 U.S.C. 103(a) as being unpatentable over Pavlidis in view of Elli Angelopoulou (*The Reflectance Spectrum of Human Skin*).

Pavlidis, teaches all the limitations of the claimed subject matter except for mentioning specifically a system wherein the attenuation occurs near a frequency selected from the group consisting of about 542 nanometers, about 560 nanometers,

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about 576 nanometers, about 1400 nanometers, and about 1700 nanometers, wherein the processor is adapted to identify the first spectral characteristic from a back of the hand of the subject, wherein the processor identifies the second spectral characteristic from a palm of the hand of the subject, a processor further adapted to compare the first and the second areas of skin and adapted to indicate whether the subject is experiencing physiological stress based on an attenuation at a pre-selected frequency of a spectrum between the first and the second areas of skin, wherein the attenuation is representative of a change in one of a spectrum of sub-dermal blood flow and a spectrum of dermal hydration and wherein the attenuation indicates a blush.

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However, the system wherein the attenuation occurs near a frequency selected from the group consisting of about 542 nanometers, about 560 nanometers, about 576 nanometers, about 1400 nanometers, and about 1700 nanometers, wherein the processor is adapted to identify the first spectral characteristic from a back of the hand of the subject, wherein the processor identifies the second spectral characteristic from a palm of the hand of the subject, a processor further adapted to compare the first and the second areas of skin and adapted to indicate whether the subject is experiencing physiological stress based on an attenuation at a pre-selected frequency of a spectrum between the first and the second areas of skin, wherein the attenuation is representative of a change in one of a spectrum of sub-dermal blood flow and a spectrum of dermal hydration and wherein the attenuation indicates a blush are considered conventional in the art as evidenced by the teachings of Elli Angelopoulou (*The Reflectance Spectrum of Human Skin*).

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Elli Angelopoulou discloses a system wherein the attenuation occurs near a frequency selected from the group consisting of about 542 nanometers, about 560 nanometers, about 576 nanometers, about 1400 nanometers, and about 1700 nanometers, wherein the processor is adapted to identify the first spectral characteristic from a back of the hand of the subject, wherein the processor identifies the second spectral characteristic from a palm of the hand of the subject, a processor further adapted to compare the first and the second areas of skin and adapted to indicate whether the subject is experiencing physiological stress based on an attenuation at a pre-selected frequency of a spectrum between the first and the second areas of skin, wherein the attenuation is representative of a change in one of a spectrum of subdermal blood flow and a spectrum of dermal hydration and wherein the attenuation indicates a blush.

Based on the above observations, for a person of ordinary skill in the art, modifying the system disclosed by Pavlidis, with the above discussed enhancements would have been considered obvious because such modifications would have improved the system to detect physiological stress in humans by providing more accurate data of the light reflected from the skin.

Claims 5 and 16 are rejected under 35 U.S.C. 103(a) as being unpatentable over Pavlidis in view of Kataoka (*Development of a Skin Temperature Measuring System for Non-contact Stress Evaluation*).

Pavlidis, teaches all the limitations of the claimed subject matter except for mentioning specifically a system wherein the processor is coupled to an alarm and

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activates the alarm if the area of the image more closely coincides with the second spectral characteristic.

However, the system wherein the processor is coupled to an alarm and activates the alarm if the area of the image more closely coincides with the second spectral characteristic is considered conventional in the art as evidenced by the teachings of Kataoka.

Kataoka discloses a system wherein the processor is coupled to an alarm and activates the alarm if the area of the image more closely coincides with the second spectral characteristic.

Based on the above observations, for a person of ordinary skill in the art, modifying the system disclosed by Pavlidis, with the above discussed enhancements would have been considered obvious because such modifications would have improved the system to detect physiological levels of stress induced by an emergent condition providing more accurate data of skin temperature changes.

Conclusion

THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the

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shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to John F. Ramirez whose telephone number is (571) 272-8685. The examiner can normally be reached on (Mon-Fri) 7:30 - 4:00 p.m.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Brian L. Casler can be reached on (571) 272-4956. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

JFR 03/10/06

> SUPERVISORY PATENT EXAMINER TECHNOLOGY CENTER 3700